



Yuzhou Xu

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PRACTICE AREAS

- Banking and finance
- Asset management
- Structured finance and asset-backed securitization
- Financial services and regulatory
- Insurance

PROFESSIONAL EXPERIENCE

Prior to joining Han Kun, Mr. Xu was one of the founding partners of Shanghai Young-Ben Law Firm, where he successively served as a director, CPC branch secretary, and managing partner.

Mr. Xu's areas of expertise in finance cover financial compliance and asset management, including the establishment of financial institutions and the issuance of financial products, bank financing, trust schemes, utilization of insurance funds, establishment of insurance asset management products, establishment and operation of private equity funds, all types of institutionalized financing, asset securitization, various structured financing and asset securitization projects, and various issuances of bonds and debt financing instruments, etc.

Mr. Xu provides legal services to various financial institutions, such as banks, trusts, brokerages, insurance companies, and fund and asset management institutions, including insurance asset management companies such as Ping An Asset & Management, Taiping Asset & Management, Ping An Real Estate, Ping An Annuity, Everbright, Aeon Asset Management, Taikang Asset Management, Huatai Asset Management, Sunshine Asset & Management, People's Pension, Minshengtonghui, Zhongyingyili, and other insurance asset management companies; a number of trust institutions such as Ping An Trust, Aijian Trust, Huabao Trust, Zhongrong Trust, Tianjin Trust, Everbright Trust, and CITIC Trust, etc.; and also a number of financial institutions such as CITIC Construction, CITIC Bank, Ping An Securities, etc. Mr. Xu has successfully undertaken projects that include the very first debt plan and financing project of insurance assets for "Blue Whale I", a major technical drilling rig equipment project; and the first issuance of super short-term financing bills in the amount of RMB 1 billion by a Shanghai SOE; the first official car reform project by the Fengxian District Government, Shanghai. Mr. Xu has extensive experience and a good reputation in the area of insurance fund use, insurance project establishment and asset management, and the establishment and compliance of insurance companies and insurance asset management companies.

Mr. Xu also has nearly 20 years of experience in the area of state-owned assets and enterprises. Mr. Xu's current long-term clients include Shanghai Huayi, Shanghai United Assets and Equity Exchange,

Guangming Group, INESA, YRD Group, Real Estate Group, SHSIIC Group, Shenergy (Group), Jinjiang Group, Shanghai International Group etc. and their affiliated companies, and he has industry-leading advantages in state-owned financial institution compliance matters and the establishment and operation of state-owned funds and the state-owned business of financial institutions.

Mr. Xu, upon invitation, has drafted the Guidelines and Codes of Practice for Shanghai State-owned Assets Supervision and Administration Commission, Shanghai Bar Association, LexisNexis, and so forth. In addition, Mr. Xu has published several specialized articles in Capital Shanghai, the most authoritative periodical on state owned assets, Asian Legal Business, and Shanghai Legal Daily.

EDUCATION

Shanghai University of Finance and Economics, LL.B. ; China Europe International Business School, EMBA.

QUALIFICATIONS

Member of the PRC Bar

HONORS AND AWARDS

The A-List China's Elite Lawyers, China Business Law Journal, 2022

Client Choice: Top 15 Insurance Versatile Practitioners, Legalband, 2022

Leading Lawyer in the Practice Area of Securitisation & Derivatives, Legalband, 2015 to 2021

Outstanding Member of the Communist Party of Jing'an District, 2021

Top Young and Middle-aged Talent of Jing'an District, 2018

First Batch of Jing'an Outstanding Youth Talents, 2013

Model Party Member Lawyer, Ministry of Justice, 2012

SOCIAL RESPONSIBILITIES

Member of the Expert Panel of the Issuance Asset Management Association of China

Vice President of State-owned Assets and Enterprises Committee of Shanghai Bar Association

Member of Shanghai Bar Association Informatization Committee

Expert for Legal Review of the Shanghai Public Resources Center

Director of the Shanghai Jing'an District Young Entrepreneurs Association

Master's Program Instructor at the Shanghai University of Finance and Economics, Off-Campus

Instructor at the School of International Law, Shanghai University of Political Science and Law

PUBLICATIONS

Compliance Manual on the Use of Insurance Funds

WORKING LANGUAGES

Chinese, English



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业务领域

- 银行和金融
- 资产管理
- 结构化金融和资产证券化
- 金融服务和金融监管
- 保险

工作经历

在加入汉坤律师事务所之前，徐宇舟律师曾作为创始合伙人之一成立了上海原本律师事务所并先后担任事务所主任、党支部书记以及管理合伙人。

徐宇舟律师的金融专业领域涉及金融合规、资产管理。具体包括金融机构设立和各类金融产品发行、银行融资、信托计划、保险资金运用及保险资管产品设立、私募基金设立及运营、各类结构化融资、资产证券化项目、各类债券及债务融资工具发行等。

徐宇舟律师长期为各类银行、信托、券商、保险、基金、资管机构等金融机构提供法律服务，包括平安资管、太平资管、平安不动产、平安养老、光大永明、百年资管、泰康资管、华泰资管、阳光资管、人民养老、国华兴益、民生通惠、中英益利等保险资管机构以及平安信托、爱建信托、中融信托、天津信托、光大信托、中信信托等信托机构以及中信建投、中信银行、平安证券等金融机构。徐宇舟律师成功承办保险资管首例重装工程“蓝鲸一号”深海钻井平台债权计划融资项目、上海首单地方国企 100 亿超短融债券发行项目、首次上海市公务用车网络拍卖项目等标杆性法律服务项目。徐律师特别在保险资金运用、保险资管项目的设立及投资、保险公司及保险资管公司设立及合规等方面拥有丰富的经验和良好的口碑。

徐宇舟律师同时在国资国企专业法律服务领域具有近 20 年的丰富经验，目前长期服务于上海华谊、上海联交所、光明集团、仪电集团、长三角集团、地产集团、上实集团、申能集团、锦江集团、上海国际等国有大型集团及其下属企业，在国有金融机构合规、国有基金设立及运营以及金融机构涉国有业务方面具有行业领先优势。

徐宇舟律师多次受上海市国资委、上海市律协、律商联讯等机构委托起草业务工作指引和行业规范指南，在《上海国资》、《亚洲法律》、《中国法律商务》、《上海法治报》等专业媒体上多次发表专业文章。

教育背景

上海财经大学法学院国际经济法专业法学学士；中欧国际工商学院高层管理工商管理硕士 (EMBA)。

执业资格

中华人民共和国律师执业资格

荣誉与奖项

2022《商法》The A-List 法律精英：中国业务优秀律师

2022 年度 LEGALBAND 客户首选：保险多面手 15 强

2015-2021 年 LEGALBAND“资产证券化与衍生产品”领域中国顶级律师

2021 年静安区优秀共产党员

2018 年静安区首批优秀中青年拔尖人才

2013 年首届上海市静安青年英才

2012 年度司法部律师党员标兵

社会任职

中国保险资产管理业协会法律合规专业委员会委员

上海市律师协会国资国企业务研究委员会副主任

上海市律师协会信息化工作委员会委员

上海市公共资源中心法律评审专家

上海市静安区青年企业家协会理事

上海财经大学校外硕士生导师、上海政法学院国际法学院校外导师

最近出版物

《保险资金运用合规手册》

工作语言

中文、英文