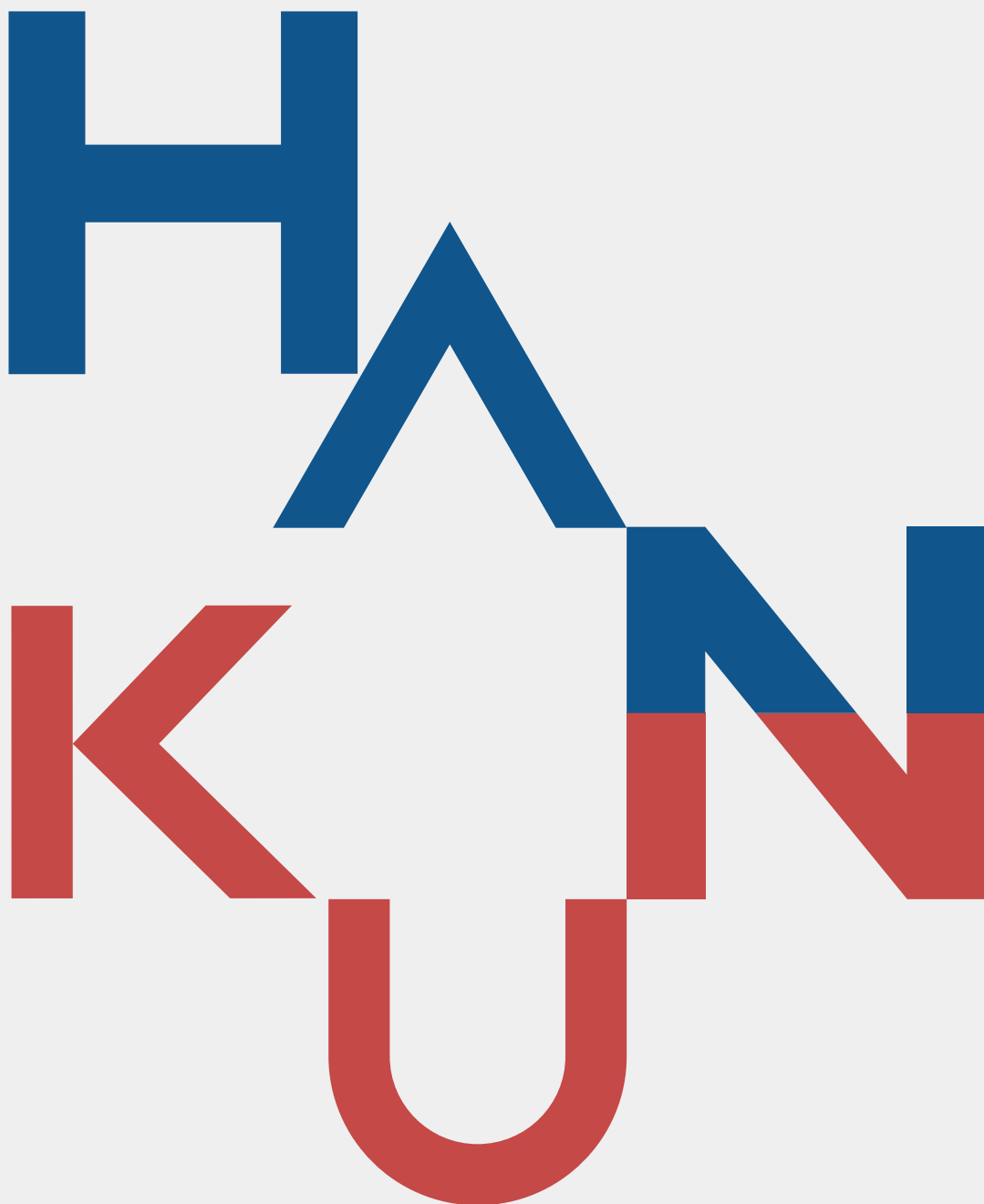


HANKUN



汉坤律师事务所





HAN KUN



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1/ 事务所介绍

汉坤律师事务所是中国领先的综合性律师事务所，专注于国内、国际间复杂的商业交易和争议的解决，是中国律师行业的领军律所之一。

在具体业务领域里，汉坤尤其以私募股权、兼并和收购、境内外证券发行与上市、投资基金/资产管理、反垄断/竞争法、银行金融、飞机融资、外商直接投资、公司合规、数据保护、私人财富管理、知识产权、破产与重组、争议解决等板块的法律服务著称，连年被国际权威法律媒体评为亚太区顶级中国律所。

汉坤拥有超过1000名专业人员，分布在北京、上海、深圳、杭州、武汉、海口、香港、新加坡、纽约、硅谷和伦敦。

汉坤的律师拥有优秀的学历背景，具有长期服务境内外客户和参与复杂跨境交易及争议解决的丰富经验。





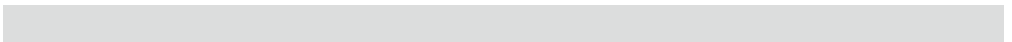
Firm Overview ■

Han Kun is a leading full-service law firm in China. Over the years, Han Kun has been widely recognized as a leader in complex cross-border and domestic transactions and compliance matters.

Our main practice areas include private equity, mergers and acquisitions, international and domestic capital markets, investment funds, asset management, compliance, banking and finance, aviation finance, foreign direct investment, antitrust/competition, data protection, private client/wealth management, intellectual property, bankruptcy and restructuring and dispute resolution.

We have more than 1000 professionals located in Beijing, Shanghai, Shenzhen, Hangzhou, Wuhan, Haikou, Hong Kong, Singapore, New York, Silicon Valley and London.

All our lawyers are graduates of top universities and have extensive experience in complex cross-border transactions and dispute resolution as counsel to both Chinese and foreign clients.



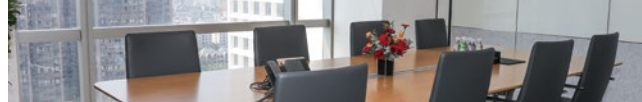
2/ 境内外资本市场

作为公认的资本市场交易专家，汉坤承办了大量具有代表性及影响力的公司的境内外上市项目，在境内外资本市场的首次公开发行、再融资、上市公司重组、债权融资等方面具有丰富的经验。汉坤代表发行人或承销商为境内外的公开发行上市和债券融资提供法律服务，具体包括：协助境内公司改制并申请在A股上市、协助境内公司改制并申请H股上市、协助公司搭建红筹架构并申请在美国、香港上市、借壳上市、上市公司再融资、上市公司债券发行、上市公司重大资产重组、上市公司控制权收购、上市公司私有化、协助上市公司完善公司治理结构、协助上市公司处理日常合规及披露事宜、上市公司一般法律咨询。



Domestic and International Capitals

Han Kun is a leading PRC law firm for capital markets. Recognized as an expert in the field, Han Kun has advised on a large number of representative and influential domestic and overseas listing projects, and has extensive experience in initial public offerings, refinancings, and listed company restructurings and debt financings, both on domestic and foreign capital markets. Han Kun advises both issuers and underwriters on their domestic and overseas public offerings and bond financings. Our services include assisting with: domestic company restructurings and applications for listing on the A-share market, domestic company restructurings and applications for listing on the H-share market, establishing red chip structures and applications for listing on U.S. and Hong Kong markets, backdoor listings, listed company refinancings, listed company bond issuances, listed company asset restructurings, acquiring control rights over listed companies, privatizations of listed companies, improving the corporate governance structures of listed companies, handling listed company daily compliance and disclosure matters and general legal matters for listed companies.



3/ 兼并和收购 ■

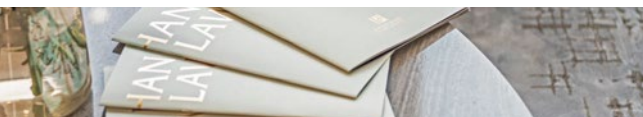
汉坤代表多个行业领域的国内外客户进行国内及跨国股权或资产并购，承办了大量具有代表性及影响力的并购项目，并购对象包括新经济领域的创业公司、传统民营企业、国有企业，境内外上市公司、境外公司等，并购形式包括股权收购、资产收购、杠杆收购、要约收购、竞拍收购等，在该领域具有强大的品牌影响力。我们对兼并购项目中涉及的市场准入、业务交接、公司治理、税务优化、资本市场披露及合规、外汇结汇、监管支付、劳动过渡、反垄断审查以及知识产权承接等方面有丰富经验和深入理解。

Mergers and Acquisitions ■

We represent Chinese and international clients in a diverse range of industries in Chinese and cross-border equity and asset acquisitions, and have been involved in a large number of high-profile M&A deals. Acquisition targets include start-ups in the new economy, traditional private enterprises, state-owned enterprises, companies publicly listed on onshore and offshore markets, and foreign companies. Transaction structures include equity and asset acquisitions, leveraged buyouts, tender offers, and acquisitions by auction. We have a wide range of experience and an in-depth understanding of laws and regulations in relation to market entry, business handover, corporate governance, tax optimization, disclosure and compliance with capital markets, foreign exchange, regulated payments, labor transitions, anti-trust, and intellectual property compliance.

4/ 私募股权和风险投资 ■

汉坤每年代表众多投资机构和公司客户，参与完成大量投资和融资项目。项目涉及境内和境外多种架构，也包括人民币和美元私募基金。我们所代表的客户种类广泛，既涵盖最活跃的国内和国际投资机构，也包括各个行业中最前沿的明星公司、独角兽企业和初创企业。汉坤的私募股权和风险投资客户，从初创阶段直至上市前的各轮融资，可获得以下专业法律服务：设计投融资的交易结构、协助客户进行公司结构重组、进行尽职调查、起草投融资法律文件、参与谈判、制定股权激励方案、协助交割流程、协调项目相关方及中介完成各种政府登记和审批。



Private Equity and Venture Capital ■

Each year, Han Kun represents a large number of investment institutions and companies in a wide variety of venture capital and private equity (VC/PE) transactions structured either onshore or offshore with investments from RMB or U.S. dollar-denominated sources. Our diverse clients include some of the most active and highly recognized Chinese and foreign corporations, star companies, unicorns and start-ups positioned at the cutting edge of many leading industries. From seed financing to follow-on financing rounds, we provide our clients with the following services: designing investment and financing transaction structures, assisting with company restructurings, due diligence investigations, drafting of investment and financing documents, assisting with negotiations, designing equity incentive plans, assisting with closings and assisting clients and intermediaries with completing government registrations and approvals.

VIP Room



5/ 科技、媒体和电信 ■

科技、媒体和电信是国内新经济领域内发展最快、最为活跃的板块。汉坤作为致力于与新经济领域内的创业公司共同成长的一家律师事务所，服务了大量TMT领域内的客户，是该领域内最为活跃的律师事务所。汉坤为TMT领域内的客户提供全方位的法律服务，包括：协助TMT公司搭建架构、重组、融资及上市、协助客户梳理业务模式及流程、协助客户分析合规及证照问题、协助客户申请相关证照、协助客户起草网站声明、服务协议、业务合同等、协助客户处理数据合规、网络安全相关的法律问题、协助客户梳理知识产权规划、申请知识产权、处理知识产权争议、日常法律咨询。

Technology, Media, and Telecom ■

Technology, media and telecommunications (TMT) is one of the fastest-growing and most active sectors in China's new economy. Han Kun adheres to the principle of growing with start-up companies in new economy sector, and has substantial experience serving TMT companies and is one of the most active law firms in the field. Han Kun provides TMT companies with a full range of legal services, which includes assisting with: establishing company structures, restructuring, financing, and listings, analyzing company business models and procedures, compliance and license issues, applications for relevant licenses, drafting website statements, service agreements, business contracts, etc., dealing with legal issues related to data compliance and cybersecurity, designing intellectual property protection plans, intellectual property applications, and handling intellectual property disputes and general legal matters.

6/ 文化传媒娱乐 ■

汉坤文化传媒娱乐业务团队由对文化传媒娱乐行业具有丰富实务经验和深入认知的专业律师组成,为包括影视制作/发行公司、院线公司、音乐制作/发行公司、经纪公司/MCN机构、传统媒体及新媒体、流媒体、视频网站、票务公司、游戏公司、专业投资机构等各类主体在内的客户处理诸多不同类型的法律事务,涉及投融资、收购兼并、知识产权保护、版权交易、合规、争议解决等多个专业领域。



Entertainment ■

Han Kun's culture, media and entertainment team consists of legal professionals possessing extensive expertise and insightful understandings of the culture, media and entertainment industry. The team handles various types of legal matters covering investment and financing, mergers and acquisitions, intellectual property protection, copyright transactions, compliance, and dispute resolution. We represent clients that include film and TV production and distribution companies, cinema chains, music producers and distributors, artist agencies and MCN agencies, traditional media and new media, streaming media, video websites, ticketing companies, game companies, and investment institutions, etc.

7/ 航空及航空金融 ■

汉坤有超过20名专业律师从事航空及航空金融相关的业务，是中国市场上最大的航空及航空金融法律服务团队。汉坤基于对相关法律和市场的深入理解为客户提供一揽子的法律服务和法律风险解决方案，为客户日益复杂或具创新性的航空及航空金融项目提供助力。汉坤参与的多个航空金融项目曾获得航空金融领域的业务创新奖项。我们的客户包括境内外租赁公司、航空公司、商业及政策性银行、飞机维修企业、物流企业、飞机制造商、私募基金、投资人以及出口信贷机构。



Aviation and Aviation Finance ■

Han Kun has more than 20 professionals dedicated to aviation and aviation finance related practices, which is the largest aviation and aviation finance legal service team in the PRC market. Based on our in-depth knowledge of relevant laws and the PRC aviation market, we offer a full range of legal services and legal risk solutions to our clients, and regularly assist our clients in their complex and innovative aviation and aviation finance projects. Han Kun has been involved in a number of award-winning aviation finance deals. Our clients include domestic and international leasing companies, airlines, commercial banks and policy banks, MROs, logistics companies, aircraft manufacturers, PE funds, investors and export credit agencies.



8/ 结构化金融 ■

汉坤为客户提供结构化金融的法律服务。汉坤合伙人和律师对结构化金融领域的法律法规及监管政策有着深入的研究，能够根据客户的商业需求以及监管环境的对应要求设计交易结构和交易条款，在实践中为客户提供建设性的综合意见。汉坤在结构化金融方面的法律服务涵盖的范围包括：信托业务，券商和基金公司子公司资管业务，保险资产管理公司资管业务，银信合作，银证合作，私募基金，夹层基金等业务领域。同时，汉坤为各类型结构化金融项目提供专业的法律服务（包括设计交易结构、审查底层资产、进行资产重组、起草交易文件、出具法律意见书等），涉及主要的交易产品包括：境内基础设施REITs、境外REITs、结构化信托计划、结构化资产管理计划等。

Structured Finance ■

Han Kun provides clients with structured finance-related services. Our partners and associates regularly engage in in-depth research on relevant structured finance rules and establish close connections and communication channels with governmental authorities to obtain timely and thorough understandings of governing rules. We design structures and transaction terms based on our clients' business needs and applicable government requirements, and provide constructive and comprehensive advice to our clients. Han Kun is highly experienced with structured finance transactions such as trust plans, asset management plans issued by securities companies, fund management companies, and insurance asset management companies, and business cooperations between financial institutions including banks, trust companies, securities companies, fund management companies, private funds, mezzanine funds, and others. We provide professional legal services for a variety of structured finance products (including designing deal structures, due diligence, asset restructuring, drafting transaction documents, and providing legal opinions). Our recent cases include onshore infrastructure REITs, offshore REITs, structured trust plans, structured asset management plans, and others.

9/ 金融科技 ■

汉坤是中国市场上最早介入金融科技领域的律所之一，随着国家对金融科技战略的重视，我们在这一领域建立了一支具有广泛认可度和专业度的金融科技团队。从金融科技企业以及相关金融机构的设立到上市，我们的服务范围覆盖全部业务领域，为中国的客户提供一揽子的法律服务，包括：金融机构设立、金融科技企业投资和重组、金融科技企业合规、金融科技产品设计及金融科技企业上市。

Fintech ■

Han Kun is among the first Chinese law firms to specialize in fintech legal services. With China's emphasis on fintech strategy, we have built a strong team that is widely recognized for its expertise and performance in the field. Our legal services cover the full lifecycle of fintech companies and financial institutions, from establishment to initial public offering: establishment of financial institutions, investing in and restructuring fintech companies, fintech compliance, fintech product design and fintech enterprise listing.



10 / 房地产与基础设施 ■

房地产和基础设施领域是汉坤重要的业务组成部分，我们在这一领域拥有一支具备专业素养和丰富实践操作经验的律师团队。汉坤的房地产和基础设施业务法律服务覆盖这一领域的全流程，包括土地获取、项目开发和建设、EPC合同审阅和谈判、项目运营、投资退出，等等。我们在与房地产和基础设施相关的投资、融资领域拥有领先的市场优势，如投资和设立不动产基金、项目并购、境内和境外REITs发行，不动产领域的各类融资安排等。

Real Estate and Infrastructure ■

Real estate and infrastructure is an integral part of Han Kun's legal services. The practice comprises an exemplary team of lawyers with professional competence and extensive practical experience. Han Kun's real estate and infrastructure practice provides clients with comprehensive services, including land acquisition, project development and construction, EPC contract review and negotiation, project operations, investment exits, and others. We lead the market in real estate and infrastructure-related investments and financings and have expertise in deals that include investing in and establishing real estate funds, project mergers and acquisitions, domestic and overseas REIT issuances, and various financing arrangements.



11 / 反垄断/竞争法业务 ■

汉坤可为客户提供全方位、多维度的反垄断竞争法律服务，包括：经营者集中申报、反垄断行政调查、反垄断诉讼及综合性反垄断合规服务。汉坤熟谙反垄断领域的中国法律、规章、政策导向和热点领域的前沿动态，以此为基础提供法律与商业良好结合的解决方案；对境外主要司法辖区的反垄断法律和实务有深刻认识，可帮助客户协调全球申报和合规。其中，汉坤在涉及互联网和私募股权投资领域的反垄断业务中有着最为丰富的实践经验和业绩，我们服务的客户业务领域还包括半导体、医药、高端制造、农业、消费品、媒体、钢铁、石油、化工、白色家电、汽车及零部件、电子、采矿等。



Antitrust/Competition ■

Han Kun offers a broad range of antitrust and competition services: merger filing, antitrust investigation, antitrust litigation and comprehensive antitrust compliance service. We are highly proficient with relevant laws, regulations, policies, and practice in the area of antitrust law. We closely follow emerging trends in hotspot areas and are known for providing both legally and commercially sensible solutions. We have extensive knowledge and deep insights into antitrust laws and practices in other major jurisdictions, and are able to assist clients in coordinating global filings and compliance. We have broad practical experience in antitrust issues relating to Internet and PE/VC investments. We also serve many industries including semiconductors, pharmaceuticals and healthcare, agriculture, high-end manufacturing, consumer goods, media, real estate, steel, petroleum, chemicals, home appliances, automobile and automotive parts, electronics, and mining, etc.

12 / 合规与调查 ■

汉坤公司合规团队由近40名专业人士组成，团队成员均毕业于国内或国际顶级知名大学的法律专业，具有出色的语言能力，团队合伙人的业务专长覆盖合规业务的广大领域。一直以来，我们致力于向客户提供360度全方位的合规法律服务，包括：反腐败/反贿赂、反垄断与不正当竞争、数据合规与网络安全、出口管制与制裁、公司合规体系建设与风险评估、公司内部合规与白领犯罪调查、应对中外政府调查、员工合规培训并向董事会及高管做合规汇报、交易项目中的专项尽职调查（反腐败、反垄断、环境、社会和治理）、反职场性骚扰及反洗钱。



Compliance and Investigation ■

Han Kun's corporate compliance practice comprises about 40 legal professionals, all of whom graduated with a law degree from top Chinese or international universities, have excellent language skills, and can work proficiently in foreign languages. The senior partners have expertise covering nearly all aspects of compliance services. We offer a broad range of corporate compliance services with a 360-degree perspective, which includes the following: anti-corruption/anti-bribery, anti-trust and unfair competition, data and cyber security compliance, export control and sanctions, company compliance systems, development and risk assessment, internal company compliance and white collar crime investigations, responding to government investigations in China or abroad, employee compliance trainings and briefings to the board members and senior management team, due diligence in transactions with specific focus (anti-corruption, anti-trust, ESG), anti-sexual harassment and anti-money laundry.

13 / 数据保护 ■

汉坤的数据保护业务团队由十余名专业人士组成，团队成员均毕业于国内外著名大学法学院，熟稔不同法域的数据相关法律法规。我们致力于协助不同行业和地区的领先公司解决其在数据领域的复杂数据合规问题。汉坤亦是数据合规领域新型法律服务的践行者。在诸如网络安全审查以及海外上市业务数据合规审核的新兴业务类型上，我们擅于整合不同领域的专业知识，在相关法规高速发展和不断变化的当下向客户提供满足其需求的创新解决方案。我们的核心业务领域包括：中国、欧盟、东南亚、中东、美加等主要国家和地区的数据合规尽职调查、差距分析及问题整改、数据相关制度、协议的起草与修订、数据本地化及跨境传输的解决方案、协助处理境内外上市、投融资、并购交易中的数据合规问题、协助配合及响应境内外监管机构、法院的数据调取以及协助开展数据相关的培训、追踪、评述数据领域最新立法动态及新闻热点。

Data Protection ■

Han Kun's data protection team comprises over a dozen lawyers, all of whom graduated from top Chinese or foreign universities. With a deep understanding of data laws both in China and abroad, we represent some of the most prominent corporate names across the globe in solving their most complex data compliance matters. As outside counsel specializing in compliance matters, we provide practical solutions to clients amid their challenges. Han Kun is also a trend-setter in the data field—by advising clients on cutting-edge matters such as cybersecurity reviews and data compliance aspects of overseas listings, we integrate expertise from within the firm and beyond to provide creative solutions that meet our clients' needs, even while the laws remain dynamic and quickly evolving. Our key practice areas include data compliance due diligence, gap analysis and rectification in major countries and regions, such as in China, the European Union, ASEAN, Middle East, the United States, and Canada, data-related policies and agreements, data localization and cross-border data transfers, data compliance issues in domestic and overseas listings, investment and financing, and M&A transactions, data requests from domestic and foreign authorities and courts, data-related training projects and updates on the latest data-related legislative developments and breaking news.



14 / 进出口与贸易合规 ■

汉坤进出口及贸易合规团队在公司合规部下,致力于为各类企业提供全方位、专业化的跨境贸易法律服务。我们的服务覆盖进出口合规、海关监管与纳税争议解决、出口管制与经济制裁应对等多个核心领域,贯穿跨境贸易的全流程,能够为企业提供系统性、跨法域的一站式合规支持。

团队成员均拥有多年跨境贸易合规领域的专业经验,具备深厚的法律与技术背景,熟悉中国、美国、欧盟、东盟等全球主要经济体的贸易监管制度,并对各行业跨境供应链的运作机制有深入理解。团队能够就中美出口管制与经济制裁提供具有实操性的合规建议,帮助企业构建风险防控体系、制定应急预案、应对调查及处罚,并在复杂国际形势下做出合规而敏捷的商业决策。



团队长期为大型跨国企业及出海中国企业提供跨境贸易合规服务,已累计协助数百家企业处理各类跨境法律事务。具体包括:设计和优化全球供应链合规架构;协助企业应对海关稽查、归类、审价、原产地等纳税争议;代理行政处罚案件、行政复议、行政诉讼及走私犯罪辩护;指导企业建立符合中美欧等多法域的出口管制内部合规计划;针对受控物项、禁运目的地等高风险场景提供分析建议;并就贸易合规培训、合规审计、违规内部调查等提供全方位支持。

团队与中国海关、商务部等监管部门保持有效沟通,能够及时把握政策动向和执法趋势,确保法律服务始终贴合监管实践。同时,我们还注重整合国内外多维度资源,协同多方专业机构,为企业提供跨领域、一体化的问题解决方案,切实帮助企业应对跨境贸易中的各类挑战与风险。

Import-export and Trade Compliance ■

Han Kun's import-export and trade compliance team, part of the firm's corporate compliance practice, is dedicated to providing comprehensive and professional cross-border trade legal services to all types of clients. Our services span multiple core areas including import-export compliance, customs supervision and tax dispute resolution, export control and economic sanctions compliance, etc., covering the entire cross-border trade process. We provide clients with systematic, cross-jurisdictional, one-stop compliance services.

All of our professionals have profound legal and technical backgrounds and are experienced in cross-border trade compliance. They are familiar with the trade regulatory systems of major global economies such as China, the United States, the European Union, and ASEAN, and have an in-depth understanding of the operational mechanisms of cross-border supply chains in various industries. Our team can provide practical compliance suggestions on China-U.S. export controls and economic sanctions, assist clients in building risk prevention and control systems, develop emergency plans, respond to investigations and penalties, and make compliant and agile business decisions amid complex international landscapes.



The team has extensive experience in providing cross-border trade compliance services to large multinational companies and Chinese companies expanding overseas, having assisted hundreds of companies in handling various cross-border legal matters. Our specific services include: designing and optimizing global supply chain compliance frameworks; assisting clients in dealing with tax disputes such as customs inspections, classification, valuation, and origin determination; representing clients in administrative penalty cases, administrative reconsiderations, administrative litigations, and smuggling criminal proceedings; guiding clients in establishing internal export control compliance programs that comply with multiple jurisdictions such as China, the United States, and Europe; providing analysis and recommendations for high-risk scenarios involving controlled items and embargoed destinations; and offering comprehensive support for trade compliance training, compliance audits, and internal investigations.

The team maintains effective communications with regulatory authorities such as China Customs and the Ministry of Commerce, enabling it to promptly grasp policy developments and enforcement trends, thereby ensuring legal services remain aligned with regulatory practices. At the same time, we also focus on integrating multi-dimensional domestic and international resources and collaborating with multiple professional institutions to deliver cross-disciplinary, integrated solutions for clients, effectively helping them navigate the challenges and risks inherent in cross-border trade.



15 / 外商直接投资 ■

不论是以绿地投资或跨国并购的方式，我们已帮助为数众多的海外客户在中国留下烙印。作为涉外业务的源头，外商直接投资是汉坤重点服务领域。汉坤的外资业务部门依托事务所整体的专业实力，提供多维度的综合法律服务，涵盖外商直接投资、兼并和收购、一般公司及商业事务、劳动人事、公司合规及行业监管、税务、外汇监管、竞争法、知识产权、网络安全及数据保护、减资、清算和破产以及争议解决。时至今日，我们的经历已覆盖几乎所有主要产业领域，我们的服务贯穿企业落地、扩张及战略调整各个阶段。我们愿同客户一起成长，始终以最前沿的法律知识和实践帮助客户实现其中国战略。

FDI Services ■

Han Kun have assisted numerous clients to make their mark in China, whether by greenfield investment or cross-border acquisition. Foreign direct investment is a key practice area of ours as it represents the source of foreign business in China. Han Kun's strength lies in providing comprehensive, integrated legal services which cover direct investment, mergers and acquisitions, general corporate and commercial matters, employment, corporate compliance and regulatory matters, taxation, foreign exchange, competition law, intellectual property, cybersecurity and data protection, capital reduction, winding up and bankruptcy, and dispute resolution. Today, our experience covers nearly all major industries, and all steps of the business lifecycle, from establishment to expansion and strategic adjustment. We wish to grow with our clients and are always at the forefront of legal knowledge and practice to assist our clients in fulfilling their China strategies.

16 / 投资基金 ■

汉坤拥有专门从事境内外投资基金设立和运营的律师团队，成员近50位，分布在北京、上海、深圳和香港办公室，是最早在国内从事境内外投资基金设立和运营的投资基金团队之一。汉坤团队熟悉投资基金管理公司/普通合伙人的运作机制和各类投资人对投资基金进行投资时的要求和考量，具有境内外各类型投资基金的设立运营经验，能够为客户提供本土化的经验和国际化的视野。汉坤拥有综合的境内外投资基金业务，范围非常广泛，综合能力突出，主要包括：投资基金管理人合规及运营、投资基金设立运营及投资基金相关其他业务（涵盖投资基金的争议、税务问题、外汇问题、劳动问题、知识产权问题等）。



Investment Funds ■

Han Kun's investment funds practice specializes in forming and operating a wide range of onshore RMB and offshore investment funds. We have about 50 specialized lawyers located in offices in Beijing, Shanghai, Shenzhen, and Hong Kong and are one of the first investment funds practices in China to provide services to set up and operate onshore RMB and offshore investment funds. Han Kun's investment funds practice is familiar with general investment fund management companies/general partner level operating mechanisms, and deeply understands the requirements and considerations of major types of investors to invest in investment funds. We have extensive experience in the establishment and operation of diverse types of onshore RMB and offshore investment funds, and serve clients by considering both national conditions and global trends. Han Kun's investment funds practice involves a broad range of industries and is known for its comprehensive capabilities. We mainly act on: Investment Fund Manager Compliance and Operations, Investment Fund Establishment and Operations and Other Investment Fund-related Services, including investment fund dispute resolution, taxation issues, foreign exchange issues, labor issues, intellectual property issues etc.

17 / 银行金融

汉坤拥有一支在银行和金融领域具有广泛认可度和专业度的银行金融法律服务团队。我们在银行金融领域提供各类法律服务，包括：金融监管和合规、金融业务牌照申请、对中资金融机构的战略投资、外资银行的本地化合作、消费金融、汽车金融、供应链金融、双边贷款、银团贷款、进出口信贷、项目融资、船舶及设备融资、并购融资、房地产融资、“走出去”融资、金融衍生品、外汇管理。



Banking and Finance

Han Kun has a strong team widely recognized for its expertise and performance in the areas of banking and finance. The scope of our banking and finance practice includes: financial regulation and compliance, applications for financial licenses, foreign strategic investments in domestic financial institutions, local incorporation of foreign banks, consumer finance, auto finance, supply chain finance, bilateral loans, syndicated loans, import-export credit facilities, project finance, ship and equipment finance, acquisition finance, real estate finance, “Out Bound” finance, financial derivatives, foreign exchange administration.



18 / 劳动人事 ■

汉坤致力于为国内外客户提供全面的劳动人事法律服务，包括但不限于：解答各类劳动、人事及工会方面的咨询；起草、修改与劳动人事相关的文件，包括劳动合同、服务协议、培训协议、竞业限制及保密协议、员工手册等；企业并购、上市过程中的人力资源尽职调查，并购后人事制度过渡及全面调整；企业重组或解散中的员工安置方案的设计、实施及风险评估；外国人就业；商业秘密保护和竞业限制；员工违规以及白领犯罪调查与追诉；劳动争议仲裁和诉讼；劳动法相关培训等。

我们亦可为国内外客户设计员工股权激励制度。具体包括：设计股权激励架构、审查境外股权激励方案、拟定相关授权、行权文件；协助完成中国政府要求的审批、登记；处理境外股权激励方案中的外汇问题等。

Labor Employment ■

We provide comprehensive labor and employment legal services for domestic and foreign clients. Our services include counseling our clients on matters concerning employment, human resources, and labor unions; drafting and reviewing employment related documents, including employment agreements, service agreements, training agreements, non-competition and confidentiality agreements, and employee handbooks, etc.; conducting due diligence of human resources during mergers, acquisitions, and public listings, and post-merger personnel adjustments and transitions; designing, implementing and evaluating risks of employee placement plans during company restructurings; employment of foreigners; trade secrets protection and non-competition; investigations and prosecutions of employee violations and white-collar crimes; labor dispute arbitrations and litigations; labor law-related trainings, etc. We assist domestic and foreign clients in formulating employee share incentive plans. Our services also include designing employee share incentive structures, reviewing employee incentive plans, preparing relevant documents for authorization and exercise, assisting in obtaining the approvals and registrations required by the Chinese government, and dealing with foreign exchange matters arising from employee incentive plans.





19 / 知识产权

汉坤知产团队由100多名专业精英组成，分布于北京、上海和深圳三地办公室，可为客户提供知识产权相关的全方位法律服务，包括知识产权申请、交易和诉讼服务。团队成员拥有各个领域的专业技术背景、均对知识产权法律具有深刻理解，曾受客户委托主导处理其最复杂的知识产权事务，并以提供创新、实用的法律解决方案而享誉业内。团队内许多成员都拥有一流大学的科学和工程学学位，这使得我们能够为客户提供服务，包括电信、半导体、人工智能、制药、医疗设备、机械等。我们的核心业务领域包括：专利诉讼、商业秘密诉讼和专利无效诉讼、商标及著作权纠纷、反垄断诉讼、专利和商标申请、知识产权许可和交易、专利有效性和自由实施（FTO）分析及知识产权尽职调查。

Intellectual Property

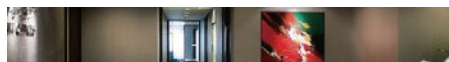
With more than 100 elite professionals strategically located in Beijing, Shanghai, and Shenzhen, Han Kun has built up a full-scope IP legal services practice that spans the entire IP lifecycle and assists our client in IP prosecutions, transactions, and litigation. We are regularly at the frontiers of our clients' most complex IP matters and are known to provide innovative and practical legal solutions, owing to our team members' extensive understanding of IP laws and the breadth of their expertise.

A unique feature of our team is that many of its members hold degrees in science and engineering from prestigious academic institutions, reflecting on our capabilities to support clients in a variety of sectors, including telecommunications, semiconductors, AI, pharmaceuticals, medical devices, and machinery, among others. Our key practice areas include patent litigation, trade secrets litigation and patent invalidation proceedings, trademark and copyright disputes, antitrust litigation, patent and trademark prosecution, IP licensing and transactions, patent validity and freedom-to-operate (FTO) analysis and IP due diligence.

20 / 商事争议解决 ■

汉坤商事争议解决团队由一群经验丰富并且在各自领域均有所建树的律师组成，在业界被认为是中国顶尖的争议解决团队之一。团队成员均毕业于国内外第一流的法学院校，长期在国内外法院、仲裁机构和行政机构的重要诉讼、仲裁和行政复议等案件中担任代理人，对法律制度的运作和具体的司法实践有着深刻且独到的理解。部分团队成员还具有公安机关、人民法院或仲裁机构工作经验，且团队中多名律师还同时具有美国、香港等国家和地区的律师执业资格，能够以多种语言为客户提供服务，确保在争议解决领域为客户提供跨辖区、跨语言、跨领域、跨行业的综合性法律服务。

汉坤长期为客户在商事争议解决领域提供专业且优质的法律服务，擅长的案件类型包括：公司控制权纠纷、金融资管纠纷、与公司、证券、保险、票据相关的违约与侵权纠纷、不良资产处置纠纷、房地产与建设工程纠纷、知识产权纠纷、不正当竞争与反垄断纠纷、国际仲裁与跨境争议解决、破产与重整纠纷、行政复议及行政诉讼等。



Dispute Resolution ■

Han Kun's Commercial Dispute Resolution Practice comprises experienced lawyers who have made exceptional achievements in their respective fields and is considered one of the top dispute resolution practices in China. Our lawyers are graduates of first-tier law schools at home or abroad and have represented clients in landmark domestic and foreign litigation, arbitration, and administrative review proceedings. They have profound and unique understandings of the operation of the Chinese legal system and specific judicial practices, and some also have work experience with public security organs, courts, and arbitral tribunals. Many lawyers in the practice are also admitted to practice in the United States, Hong Kong, and other jurisdictions. We are able to provide legal services to clients in multiple languages and ensure that our clients receive comprehensive dispute resolution services spanning different jurisdictions, languages, fields, and industries.

Han Kun is committed to providing quality professional services to clients in need of commercial dispute resolution and is particularly recognized for handling disputes in relation to: company control rights; financial management; breaches and infringements relating to corporate matters, securities, insurance, and receivables; disposal of non-performing assets; real-estate and construction projects; intellectual property; unfair competition and antitrust; international arbitration and cross-border dispute resolution; bankruptcy and restructuring; and administrative review and administrative litigation.

21 / 商业犯罪与合规调查 ■

汉坤始终致力于为客户解决复杂、多元化的法律问题，以助力企业稳健发展。汉坤商业犯罪和合规调查团队是汉坤为客户提供全方位、专业、高质量法律服务的重要部分，由多位经验丰富并且在各自领域很有建树的律师组成，团队成员均毕业于国内著名大学法学院，部分成员曾在司法机关工作，具有丰富的司法工作经验。深谙司法机关审理思路及办案方式，熟悉中国刑事法律制度及司法实践，擅长准确把握法律问题关键，能够多角度、多维度分析和应对复杂的刑事法律问题。

汉坤商业犯罪与合规调查团队擅长处理证券期货犯罪、知识产权犯罪、非法集资犯罪、职务犯罪、税务犯罪、走私犯罪、金融诈骗犯罪、环境犯罪等方面的刑事案件，以及处理疑难复杂的刑事、行政、民商事交织的刑民行交叉案件，案件涉及的领域包括房地产、银行与金融、证券、医药、建设工程、能源、国际贸易、保险、广告传媒、数字货币、网络游戏、文化产业、有机化工、特种设备制造等。在处理各类法律问题时，团队律师均能够表现出卓越的策略思维及大局意识，曾为多家大型金融机构、上市公司提供诉讼和听证法律服务，挽回经济损失高达人民币百亿元。





Business Crime & Legal Compliance ■

Han Kun has always been committed to resolving complex and diverse legal problems for our clients to facilitate their steady business development. Han Kun's Business Crime and Legal Compliance Team is an important part of Han Kun's efforts to provide comprehensive, professional, and high-quality legal services. The team is composed of a number of experienced and accomplished lawyers in their respective fields, all of whom graduated from the law schools of well-known universities in China. Members of the team have long-term experience with respect to criminal matters, including investigations, review and prosecution, and trials. The team is deeply familiar with the PRC criminal legal system and judicial practice, and its members excel at accurately grasping the key points of legal issues and analyzing and resolving complex criminal legal issues from multiple angles.

Han Kun's Business Crime and Legal Compliance Team specializes in handling securities and futures-related crimes, intellectual property crimes, illegal fund-raising crimes, duty-related crimes, tax crimes, smuggling crimes, financial fraud and environmental crimes, as well as difficult and complicated cases involving the interplay of criminal, administrative, civil, and commercial matters. The team has handled cases involving real estate, banking and finance, securities, pharmaceuticals, construction projects, energy, international trade, insurance, advertising and media, digital currencies, online games, cultural industry, organic chemical, and special equipment manufacturing. When dealing with various legal issues, the team's lawyers demonstrate outstanding strategic thinking and overall situational awareness. They have acted as counsel in lawsuits and hearings for many large financial institutions and listed companies, recovering economic losses of more than RMB 10 billion.



22 / 破产与重组 ■

汉坤破产重整业务团队由银行金融业务部门和商事争议解决部门富有经验的合伙人、骨干律师组成，集中了非诉与诉讼两个领域的专业力量，可以从多重视角为客户提供全方位的服务。凭借团队自身的经验和能力，汉坤致力于在法律关系错综复杂的破产案件中准确确定争议焦点，真正了解客户核心利益和诉求，提供实用且富有创造性的法律服务方案。汉坤的数位合伙人同时在仲裁机构中担任仲裁员，以及在跨境仲裁案件中担任中国法律专家证人，汉坤律师团队中还有来自包括各级人民法院、人民检察院的前法官和前检察官。凭借对于国内诉讼仲裁策略的深刻见解和把握，汉坤可以为客户提供破产相关诉讼仲裁案件的最全面的视角。我们的核心业务领域包括：破产重整、不良资产与重组业务、破产清算及破产和解及破产/清算衍生诉讼。



Bankruptcy and Restructuring ■

Han Kun's bankruptcy and restructuring team is comprised of experienced partners and prominent lawyers from the banking and finance practice and the commercial dispute resolution practice. The team brings together professionals in both non-litigation and litigation areas, and has the ability to provide clients with a full range of bankruptcy-related services. Armed with professional skills and experience, Han Kun is dedicated to accurately spot core issues, understand our clients' core interests and needs, and provide practical and creative solutions in highly complex bankruptcy cases. Several of Han Kun's partners also act as arbitrators in arbitration institutions and as PRC law expert witnesses in cross-border arbitrations. Han Kun's attorneys also include former judges and prosecutors of people's courts and people's procuratorates at all levels. With our insights into domestic litigation and arbitration strategies, Han Kun has the ability to provide clients with the most holistic view of bankruptcy-related litigations and arbitrations. Our key practice areas include bankruptcy and restructuring, non-performing assets and restructuring, liquidation and settlement and bankruptcy/liquidation derivative litigations.

23 / 生命科学与健康医疗 ■

汉坤的生命科学和健康医疗法律团队由该领域的专业合伙人领衔，并有数十名律师全身心参与其中。汉坤在生命科学和健康医疗领域的法律服务具有良好的口碑和声望，已连续多年被钱伯斯、商法、Legal 500和 Asialaw Profiles等知名法律评级机构评选为杰出或卓越律师事务所。汉坤深知专业化法律服务对满足生命科学与健康医疗行业客户特殊需求的重要性，我们的生命科学与健康医疗法律服务以依赖于各实务领域的专家律师整体服务的方式，为客户提供全方位法律服务。汉坤在生命科学和健康医疗领域的业务范围主要包括兼并和收购、境内外资本市场、授权许可、药品资产交易和其他类型交易、知识产权、数据保护、合规与监管以及争议解决。



Life Sciences and Healthcare ■

Han Kun has a dedicated life sciences and healthcare team led by multiple partners and comprised of tens of specialist attorneys. Han Kun is widely recognized and well-known for its life sciences and healthcare practice. We have been continuously ranked as a first-tier law firm by authoritative legal directories, including Chambers and Partners, The Legal 500, China Business Law Journal, Asialaw Profiles, etc.

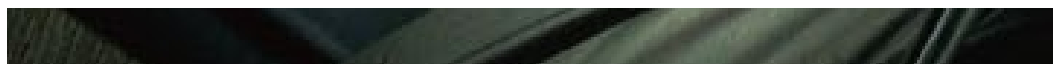
Han Kun is well aware of the importance of professional legal services for life sciences and healthcare companies, especially those facing complex legal issues and thus requiring special legal support. Clients value our teamwork, as we fully leverage the expertise and experience of our professionals—all experts in their respective fields. Our goal is always to provide clients with thorough and practical solutions and high-quality legal services. Our services mainly include private equity and venture capital, mergers and acquisitions, onshore and offshore capital markets, pharmaceutical license in/out and asset sale/purchase transactions, intellectual property, data protection, compliance and regulatory and dispute resolution.

24 / 境外投资 ■

汉坤的团队在境外投资方面具有丰富的经验，熟悉境外交易规则和惯例的同时，亦了解客户的需求和文化。团队律师均毕业于国内外知名法学院。我们能够熟练运用多种工作语言以确保中国买方、目标公司、卖方和境外律师之间的良好沟通，并善于根据客户的需求为客户度身设计有效的法律服务及问题解决方案。我们的客户包括国有企业、民营企业、上市公司、跨国公司以及金融投资者并涉及科技、互联网、医药、能源、媒体等众多领域。我们代表客户在中国境外进行直接投资、收购股权或资产。具体服务范围包括但不限于：起草和修改境外投资项目交易文件、参与项目谈判、组织协调境外律师对投资项目进行尽职调查、组织协调境外律师分析东道国投资审批体制和风险并协助获得境外政府审批登记、制定风险防范策略、反垄断分析和申报、协调代理获得中国的政府审批、与服务机构合作设立离岸公司、设计融资结构（股东贷款、母公司境外担保、境内银行内保外贷、全球授信、出口信贷等）。

Outbound Investment ■

We have extensive experiences in outbound investments. We are familiar with the rules and practices of cross-border transactions and understand the needs and culture of our clients. All of our team members graduated from renowned domestic and foreign law schools. We are proficient in multiple languages to ensure smooth and amicable communications among the Chinese buyers, the target companies, the sellers and local counsel. We also provide effective legal services and solutions tailored to the needs of our clients. Our clients include state-owned enterprises, private companies, listed companies, multinational companies and financial investors in a wide range of sectors, such as technology, the Internet, pharmaceuticals, energy and media. We represent clients in their overseas equity and asset acquisitions and direct investments. Our service scope includes, without limitations: preparing and revising the transaction documents, participating in negotiations, coordinating with local counsel to conduct legal due diligence investigations, coordinating with local counsel to analyze the regulatory regime and potential risks in the target jurisdiction and obtain relevant governmental approvals in such jurisdiction, formulating risk mitigation strategies, conducting anti-monopoly analysis and assisting to complete anti-trust filings, coordinating with agents to obtain and complete PRC government approvals and registrations, working with agents to establish offshore entities and formulating financing structures (including shareholder loans, offshore guarantees provided by parent companies, guarantees by PRC banks for foreign loans, global credit facilities, and export credits).





25 / 家事法、信托与财富规划 ■

汉坤是为数不多可以提供全球私人客户业务的中国律所之一。我们提供全球私人客户业务法律服务，法域涵盖中国、中国香港、新加坡、美国、英国、意大利、百慕大、BVI、开曼群岛、泽西岛、耿西岛等。提供家庭法、私人财富管理和家庭资产整体规划服务，我们直接服务超高净值客户家庭，从财富管理到危机介入。我们善于向私人客户提供商业、税务、慈善事业等方面的综合解决方案，擅长于处理中国客户及在中国拥有资产的外国人的传承与规划事宜。我们也一直积极参与私人银行和信托公司的家族信托业务服务并为之提供建议。我们与多家跨境资产规划和家庭财富管理方面的国际律所等其他专业机构有着紧密的合作。我们在这一领域的服务包括：家族传承规划与继承安排、信托与税务规划、婚姻与家庭成员保护、家事诉讼与家事纠纷解决、其他私人客户业务。我们在商业及私人领域的优越表现，使得我们可以提供商业及个人事务的综合建议和解决方案。





Family Law, Trust and Wealth Planning ■

Han Kun is among the few Chinese law firms that provide global private client services. We provide global private client services across jurisdictions, including China, Hong Kong SAR, Singapore, the United States, the United Kingdom, Italy, Bermuda, the British Virgin Islands, Cayman Islands, Jersey Islands, Guernsey, etc. We provide domestic family law services, private wealth management and overall family asset planning. We directly serve ultra-high-net-worth clients, from wealth management to crisis intervention. We are experts at providing private clients with comprehensive solutions in respect of commercial issues, taxation and philanthropy, and specialize in dealing with complicated cases that involve client assets located across various jurisdictions. We are also actively involved with and advise on the family trust services of private banks and trust Companies. Because of the multijurisdictional concerns of our clients, we have broad connections with other international law firms that engage in cross border asset planning and family wealth management services. Our services include family succession and inheritance arrangements, trust and tax planning, marriage and family member protection, family litigation and family dispute resolution, other private client services. We can provide both enterprise clients and private clients with comprehensive legal advice and solutions based upon our wealth of experience in these areas.



26 / 税务规划 ■

汉坤提供与私募股权基金（人民币基金、美元基金等）有关的税务规划和支持服务，覆盖募投管退的全周期。同时对实体企业的资本市场运作（搭建、拆除红筹、IPO、集团重组等）提供灵活的而可操作的税务意见和建议。个人层面，针对家族财团、高净值个人以及高管团队等提供投资并购、全球资产重组与整合、股权激励等相关的税务架构搭建、拆除、优化等定制化服务。此外，对中国企业走出去、税收争议解决等亦能提供有针对性的建议和支持。我们致力于向客户提供全方位的税务相关法律服务：私募股权基金、个人财富、交易及来华投资。



Tax Planning ■

We provide tax optimization and execution services for RMB and offshore private equity funds, which cover the entire investment lifecycle. We also provide flexible and feasible tax services for company groups in respect of their capital markets activities, such as creating and removing offshore structures, IPOs, and group restructurings. We serve private clients, e.g., family offices, high-net-worth individuals, and company management, for whom we offer dedicated tax optimization services related to M&As, global asset integrations, and stock option incentive-related restructurings. We also provide targeted advice and support for outbound investments and resolving tax disputes. Han Kun provides comprehensive tax-related legal services, including private equity funds, transactions, personal wealth management and foreign investment.

27 / 能源、矿产与环保 ■

汉坤的能源（包括新能源和传统能源）、矿产与环保团队由数十名专业人士组成。该团队成员经验丰富，均毕业于国内外一流法学院，不仅拥有出色的法律文书撰写能力，而且能够熟练运用英文、法语等多种外语进行谈判。团队合伙人执业均超过十年，业务专长覆盖能源、矿产与环保行业及相关领域。汉坤在能源、矿产与环保相关业务中具有非常丰富的经验，尤其是具有大型项目的调查经验。团队成员曾为政府部门和国有企业、民营企业、国际投资者、投资基金、境内外金融机构等客户提供专业法律服务。汉坤在本领域的具体服务范围包括但不限于：私募股权和风险投资、股权收购、资产收购以及合资公司设立、境内外资本市场、合规策略制定及法律风险评估、项目合规性审查与谈判、矿产和自然资源开发、勘探和开采及法律顾问服务。

Energy, Minerals and Environmental Protection ■

Our team in energy (including traditional energy and new energy), minerals and environmental protection comprises more than ten experienced professionals who are graduates of top law schools both in China and abroad. They are equipped with excellent legal writing skills and are able to conduct negotiations in English, French, and other foreign languages. Our partners all have more than ten years of practice experience, specializing in energy, minerals, environmental protection industries and other related fields. We have extensive experience in energy, minerals and environmental protection-related services and, in particular, have experience in investigating large-scale projects. Members of the team have provided professional legal services to clients such as government agencies, state-owned enterprises, private enterprises, international investors, investment funds, and domestic and foreign financial institutions. Han Kun's services in the energy, minerals and environmental protection areas include the following: Private Equity and Venture Capital, Equity Acquisition, Asset Acquisition and Establishment of Joint Ventures, Onshore and Offshore Capital Markets, Compliance Policies and Legal Risk Evaluations, Project Compliance Review and Negotiation, Development, Exploration and Exploitation of Mineral and other Natural Resources Legal Advisory Services.

28 / 资产证券化 ■

汉坤为客户提供资产证券化的法律服务。汉坤合伙人和律师对资产证券化领域的法律法规及监管政策有着深入的研究,与政府主管部门、监管机构、交易场所等均建立了密切的业务联系和良好的沟通渠道,能够及时了解和深入理解并把握行业最新立法动态,前瞻性地设计交易结构和交易条件,同时积极参与业务实践和创新,能够协助客户在日益复杂和严格的监管环境下识别问题,提供有价值的、可行的创新性意见和突破路径,并最终解决问题。

汉坤在资产证券化方面的法律服务涵盖市场上所有的资产证券化产品,包括信贷资产证券化产品、企业资产证券化产品、资产支持票据以及其他非公开场所发行的证券化产品。同时,汉坤为各类型基础资产涉及的证券化项目提供专业的法律服务(包括设计交易结构、审查底层资产、进行资产重组、起草交易文件、出具法律意见书等),其中具有代表性的资产证券化项目主要包括:类REITs、CMBS等不动产资产证券化、保单贷款债权资产证券化、小额贷款/消费贷款资产证券化、租赁债权资产证券化、购房尾款资产证券化、汽车金融资产证券化、银行信贷资产证券化、其他创新型资产证券化。

Asset-backed Securitization ■

Han Kun provides clients with asset-backed securitization legal services. Our partners and associates engage in in-depth research on relevant asset-backed securitization rules, establish close connections and communication channels with governmental authorities (including regulatory authorities and stock exchange markets) to obtain timely and thorough understandings of governing rules. We provide our clients with valuable, actionable advice, such as designing prospective deal structures and transaction terms, actively engaging in market practices and innovation products, and assisting in identifying and solving issues in this complicated and increasingly strict regulatory environment. Han Kun is experienced with transactions in connection with all ABS products, including credit asset ABS, enterprise asset ABS, ABNs, and other ABS products issued for private investors. Han Kun provides professional legal services for a variety of ABS transactions (including designing deal structures, due diligence, asset restructuring, drafting transaction documents, and providing legal opinions). Our representative cases include: Quasi-REITs, CMBS and other real estate ABS, insurance policy loan ABS, consumer loan ABS, lease receivable ABS, property receivable ABS, auto-finance ABS, credit asset ABS and CMBS and other ABS.





如需了解汉坤律师事务所的详细情况，
请访问我们的网站：www.hankunlaw.com
或通过电子邮件public@hankunlaw.com与本所联系。

To learn more about Han Kun Law Offices,
please visit www.hankunlaw.com or e-mail us at public@hankunlaw.com.



北京

北京市东城区东长安街1号东方广场
C1座9层
邮编: 100738
电话: +86 10 8525 5500
传真: +86 10 8525 5511 / 5522
Email: beijing@hankunlaw.com

杭州

杭州市上城区三新路118号杭州国际金融中心
汇西办公楼一座2001-06
邮编: 310000
电话: +86 571 8532 5000
传真: +86 571 8532 5001
Email: hangzhou@hankunlaw.com

香港

香港中环皇后大道中15号置地广场
告罗士打大厦43楼4301-10室
电话: +852 2820 5600
传真: +852 2820 5611
Email: hongkong@hankunlaw.com

硅谷

美国加州帕洛阿托市Page Mill路425号2楼200室
邮编: 94306-2075
电话: +1 650 206 7838
Email: siliconvalley@us.hankunlaw.com

Beijing

9/F, Office Tower C1, Oriental Plaza, 1
East Chang An Ave., Dongcheng District,
Beijing 100738, PRC
Tel: +86 10 8525 5500
Fax: +86 10 8525 5511 / 5522
Email: beijing@hankunlaw.com

Hangzhou

Units 2001-06, One IFC River West,
118 Sanxin Road, Shangcheng District,
Hangzhou 310000, Zhejiang, PRC
Tel: +86 571 8532 5000
Fax: +86 571 8532 5001
Email: hangzhou@hankunlaw.com

Hong Kong

Rooms 4301-10, 43/F, Gloucester Tower, The Landmark,
15 Queen's Road Central, Hong Kong SAR, PRC
Tel: +852 2820 5600
Fax: +852 2820 5611
Email: hongkong@hankunlaw.com

Silicon Valley

425 Page Mill Rd, Ste 200 2nd Floor,
Palo Alto, CA, USA, 94306-2075
Tel: +1 650 206 7838
Email: siliconvalley@us.hankunlaw.com

上海

上海市静安区石门一路288号兴业太古汇
香港兴业中心二座33层
邮编: 200041
电话: +86 21 6080 0909
传真: +86 21 6080 0999
Email: shanghai@hankunlaw.com

武汉

武汉市洪山区珞喻路10号群光中心
31层3107-18室
邮编: 430070
电话: +86 27 5937 6200
传真: +86 27 5937 6211
Email: wuhan@hankunlaw.com

新加坡

莱佛士坊1号#53-00莱佛士坊一号1座
邮编: 048616
电话: +65 6013 2999
传真: +65 6013 2998
Email: singapore@hankunlaw.com

伦敦

英国伦敦皇家交易所大楼1-2号一楼
邮编: EC3V 3LF
电话: +44 20 8078 9782
Email: info@hankunlondon.co.uk

Shanghai

33/F, HKRI Center Two, HKRI Taikoo Hui,
288 Shimen Road (No. 1), Jing'an District,
Shanghai 200041, PRC
Tel: +86 21 6080 0909
Fax: +86 21 6080 0999
Email: shanghai@hankunlaw.com

Wuhan

Room 3107-18, Chicony Center, 10 Luoyu Road,
Hongshan District, Wuhan 430070,
Hubei, PRC
Tel: +86 27 5937 6200
Fax: +86 27 5937 6211
Email: wuhan@hankunlaw.com

Singapore

1 Raffles Place #53-00, One Raffles Place
Tower 1, Singapore 048616
Tel: +65 6013 2999
Fax: +65 6013 2998
Email: singapore@hankunlaw.com

London

First Floor, 1-2 Royal Exchange Buildings,
London EC3V 3LF
Tel: +44 20 8078 9782
Email: info@hankunlondon.co.uk

深圳

深圳市福田区中心四路1-1号嘉里建设广场
第三座20层
邮编: 518048
电话: +86 755 3680 6500
传真: +86 755 3680 6599
Email: shenzhen@hankunlaw.com

海口

海口市龙华区滨海大道105号百方广场A座
19层1903室
邮编: 570100
电话: +86 898 3665 5000
传真: +86 898 3665 5011
Email: haikou@hankunlaw.com

纽约

美国纽约州纽约市麦迪逊大道551号1308室
邮编: 10022
电话: +1 516 960 3600
传真: +1 516 960 3080
Email: newyork@us.hankunlaw.com

Shenzhen

20/F, Kerry Plaza Tower 3, 1-1 Zhongxinsi
Road, Futian District, Shenzhen 518048,
Guangdong, PRC
Tel: +86 755 3680 6500
Fax: +86 755 3680 6599
Email: shenzhen@hankunlaw.com

Haikou

Room 1903, The Form Plaza Tower A, 105 Binhai
Road, Longhua District, Haikou 570100,
Hainan, PRC
Tel: +86 898 3665 5000
Fax: +86 898 3665 5011
Email: haikou@hankunlaw.com

New York

551 Madison Avenue, Suite 1308,
New York, NY 10022, USA
Tel: +1 516 960 3600
Fax: +1 516 960 3080
Email: newyork@us.hankunlaw.com